

Form PTO-1449

Sheet 1 of 2

Applicant: Buchanan et al.

Serial No.: 09/560,779

Att'y Docket No. 7905.15

Filing Date: April 28, 2000

Group: \_\_\_\_\_

For:

METHOD AND SYSTEM FOR PROCESSING FINANCIAL  
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SUPPLEMENTAL INFORMATION DISCLOSURE CITATIONS MADE BY APPLICANT

## U.S. Patent Documents

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Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
<u>nn</u> A1	6,032,137	*Feb. 29, 2000	Ballard	H04L	9/00	May 19, 1998
<u>nn</u> A2	5,999,624	*Dec. 7, 1999	Hopkins	H04K	1/00	Dec. 24, 1996
<u>nn</u> A3	5,930,778	Jul. 27, 1999	Geer	G06F	17/60	Jul. 11, 1996
<u>nn</u> A4	5,895,455	Apr. 20, 1999	Belinger et al.	G06F	157/00	Aug. 14, 1996
<u>nn</u> A5	5,832,463	Nov. 3, 1998	Funk	G06F	17/60	Mar. 28, 1996
<u>nn</u> A6	5,787,403	Jul. 28, 1998	Randle	G06F	17/60	Mar. 8, 1995
<u>nn</u> A7	5,691,524	*Nov. 25, 1997	Josephson	G06F	17/60	May 15, 1996
<u>nn</u> A8	5,583,759	Dec. 10, 1996	Geer	G06F	17/00	Jul. 27, 1995
<u>nn</u> A9	5,412,190	*May 2, 1995	Josephson et al.	G06F	15/30	Feb. 26, 1993
<u>nn</u> A10	5,373,550	Dec. 13, 1994	Campbell et al.	H04M	11/00	Oct. 13, 1992
<u>nn</u> A11	5,326,959	Jul. 5, 1994	Perazza	G06F	15/30	Aug. 4, 1992
<u>nn</u> A12	5,321,816	Jun. 14, 1994	Rogan et al.	G06F	13/00	Dec. 9, 1992
<u>nn</u> A13	5,237,159	Aug. 17, 1993	Stephens et al.	G06F	15/30	Aug. 17, 1993
<u>nn</u> A14	4,358,671	Nov. 9, 1982	Case	G06K	1/14	Jun. 24, 1979
<u>nn</u> A15	4,321,672	Mar. 23, 1982	Braun et al.	G06F	15/30	Nov. 26, 1979
<u>nn</u> A16	4,264,808	Apr. 28, 1981	Owens et al.	G06F	15/30	Oct. 6, 1978

(\*Patent is subject to Terminal Disclaimer)

## References Cited by Applicants

While the filing of Information Disclosure Statements is voluntary, the procedure is governed by the guidelines of Section 609 of the Manual of Patent Examining Procedure and 37 C.F.R. §§ 1.97 and 1.98. To be considered a proper Information Disclosure Statement, Form PTO-1449 shall be accompanied by a copy of each listed patent or publication or other item of information and a translation of the pertinent portions of foreign documents (if an existing translation is readily available to the applicant), an explanation of relevance of each reference not in the English language, and should be submitted in a timely manner as set out in MPEP Sec. 609.

NGA B. NGUYEN

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The reference designations "A1," "A2," etc. (referring to Applicant's reference 1, Applicant's reference 2, etc.) will be used by the Examiner in the same manner as Examiner's reference designations "A," "B," "C," etc. on Office Action Form PTO-1142.

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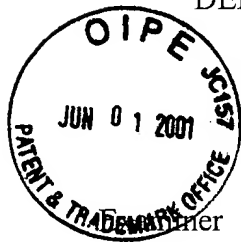
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Examiner Initial*	Patent Number	Issue Date	Name	Class	Sub Class	Filing Date
<u>mn</u> A1	5,175,682	12/29/92	Higashiyama et al.	364	408	12/14/90
<u>mn</u> A2	5,532,464	07/02/96	Josephson et al.	235	379	04/25/95
<u>mn</u> A3	5,677,955	10/14/97	Doggett et al.	380	24	04/07/95
<u>mn</u> A4	5,717,868	02/10/98	James	395	235	03/07/95
<u>mn</u> A5	5,819,236	10/06/98	Josephson	705	35	06/12/95
<u>mn</u> A6	5,930,778	07/27/99	Geer	705	45	07/11/96
<u>mn</u> A7	5,940,813	08/17/99	Hutchings	705	43	07/26/96
<u>mn</u> A8	6,036,344	03/14/00	Goldenberg	364	408	06/10/98
<u>mn</u> A9	6,038,553	03/14/00	Hyde, Jr.	705	45	09/19/97
<u>mn</u> A10	6,105,011	08/15/00	Morrison, Jr.	705	45	03/19/98
<u>mn</u> A11	6,164,528	12/26/00	Hills et al.	235	379	12/31/96

Foreign Patent Documents

Examiner Initial*	Document Number	Publ. Date	Country or Patent Office	Class	Sub Class	Trans- lation
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NONE

Examiner:

Ngan Nguyen

Date Considered:

6/18/01

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(including author (if listed), title, relevant pages, date of publication including at least month and year).

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*Nguyen*

Date Considered:

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